



2622

PATENT
Attorney Docket No.: 16869S-026400US
Client Reference No.: E5919-01EW

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tooru Matsumura, et al.

Application No.: 09/839,694

Filed: April 19, 2001

For: DIGITAL SIGNAL
RECORDING/REPRODUCING
APPARATUS, RECEIVING
APPARATUS AND TRANSMITTING
METHOD

Examiner: T. Lamb

Art Unit: 2622

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of a Search Report for the corresponding European application EP01303408 that cites the enclosed references. Examiner please note that cited reference 1, U.S. Pat No. 6,694,022, issued from the U.S. national phase filing of PCT application PCT/JP99/01133 which was published as WO99/46933 and is listed on the SB08A as cited reference 5.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Robert C. Colwell
Reg. No. 27,431

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60234658 v1



PTO/SB/08A (08-03)

Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/839,694		
		Filing Date	April 19, 2001		
		First Named Inventor	Tooru Matsumura		
		Art Unit	2622		
		Examiner Name	T. Lamb		
Sheet	1	of	2	Attorney Docket Number	16869S-026400US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	1	US-6,694,022	02-17-2004	Matsushita	
	2	US-5,825,969	10-20-1998	Ono et al.	
	3	US-5,694,381	12-02-1997	Sako	
	4	US-5,400,402	03-21-1995	Garfinkle	

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	† ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	5	PCT	WO99/46933	A1	09-16-1999	Matsushita Electric		abstract only
	6	EP	0878794	A2/A3	11-18-1998	Sony Corp		<input type="checkbox"/>
	7	EP	0809244	A2/A3	11-26-1997	Fujitsu Ltd.		<input type="checkbox"/>

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/839,694
				Filing Date	April 19, 2001
				First Named Inventor	Tooru Matsumura
				Art Unit	2622
				Examiner Name	T. Lamb
Sheet	2	of	2	Attorney Docket Number	16869S-026400US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	8	LINNARTZ "The 'Ticket' Concept for Copying Control Based on Embedded Signalling," , " Proceedings of the European Symposium on Research in Computer Security, ESORICS 98, Louvain-la-Nueve, Belgium, September 16-18, 1998 (1998).	

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Examiner Signature		Date Considered	
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² Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.